TESDA-OP-IAS-01-F06-PO

Rev. No. 03 - 07/05/2022

**CONSOLIDATED PROVINCIAL/DISTRICT REPORT ON AUDITED AND CLOSED PROGRAMS**

**AND STATUS OF COMPLIANCE TO CORRECTION AND CORRECTIVE ACTION/S**

**For the year: \_\_\_\_\_\_**

**As of \_\_\_\_\_\_\_\_\_\_\_\_\_**

Province:\_\_\_\_\_\_\_\_\_\_\_\_\_\_

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Seq. No.** | **Name of Institution** | **TVET Program** | **Registration** | | **Date of Audit/ Closure** | **Status (Place a check mark on columns F, G or I as applicable)** | | | | | | | | | | |
| **Compliant** | **Migration** | | **Closed[[1]](#footnote-2)** | **Non-compliant** | | | | | | **Remarks** |
| **Date** | **Number** | **FOR Migration *(within the migration period)*** | **NOT Migrated *(period of migration already lapsed)*** | **(I)** | **Findings on Areas Not Complied With** | **Correction Action** | **Corrective Action** | **Date of Implementation (Correction and Corrective Actions)** | **Date Non-Conformities Closed**  **(Date of Compliance)** |
| **(A)** | **(B)** | **(C)** | **(D.1)** | **(D.2)** | **(E)** | **(F)** | **(G.1)** | **(G.2)** | **(H)** | **(J)** | **(K)** | **(L)** | **(M)** | **(N)** | **(O)** |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

|  |  |  |
| --- | --- | --- |
| **Prepared by:** |  | **Approved by:** |
|  |  |  |
| DO/PO Compliance Audit Focal |  | District/Provincial Director |
| Date: |  | Date: |

**CONSOLIDATED PROVINCIAL/DISTRICT REPORT ON AUDITED AND CLOSED PROGRAMS**

**AND STATUS OF COMPLIANCE TO CORRECTION AND CORRECTIVE ACTION/S**

*INSTRUCTIONS*

1. This Summary shall be accomplished and be submitted by the District/Provincial Office to the Regional Office every end of the month.
2. This form shall be accomplished as follows:
   1. **For the year –** the applicable year where compliance audit was conducted
   2. **As of –** period covered of the report
   3. **Province –** name of the province/district
   4. Columns A to O shall reflect the following information:

|  |  |  |
| --- | --- | --- |
| Column A | Seq. No. | Sequence number |
| Column B | Name of Institution | Name of the TVI audited |
| Column C | TVET Program | Title of program audited |
| Column D.1 | Registration Date | Registration Date of the TVET programs audited |
| Column D.2 | Registration Number | CTPR Number of the TVET programs audited |
| Column E | Date of Audit/Closure | Actual date of audit; date of TVI closure; or the date when the TVI’s CTPR was voluntarily surrendered to PO/DO |
| Column F | Compliant | Put a check "✓" mark to indicate status based on the duly accomplished TVI Compliance Audit Report (TESDA-OP-IAS-01-F05) and/or Compliance Audit Action Catalogue (TESDA-OP-IAS-01-F05-A) as of reporting date |
| Column G.1 | For Migration *[****FOR Migration*** *(within the migration period)]* | TVET Programs needing migration due to either from NTR to WTR or Old WTR to Updated WTR. Audited TVET programs under this category are found compliant at the time of audit. TVIs have the responsibility to migrate the program prior to the lapse of the migration period. Put a check "✓" mark to indicate status |
| Column G.2 | For Migration *[****NOT Migrated*** *(period of migration already lapsed)]* | Audited TVET programs under this category FAILED to migrate the program within the migration period. These TVET programs are considered NONCOMPLIANT and shall be subjected to Revocation Proceedings. Put a check "✓" mark to indicate status |
| Column H | Closed | Indicate either: **1** – for Closed TVI/Company; **2** – for Programs Closed due to Certificate of TVET Program Registration (CTPR) voluntarily surrendered to PO/DO prior the conduct of audit; or **3** – for TVET programs closed due to unsettled nonconformities, as of reporting date |
| Column I | Non-Compliant | Put a check "✓" mark to indicate status based on the duly accomplished TESDA-OP-IAS-01-F05 at the time of audit OR TESDA-OP-IAS-01-F05-A as of reporting date |
| Columns  J to M | J – Findings on Areas Not Complied with  K – Correction Action  L – Corrective Action  M – Date of Implementation (Correction and Corrective Actions) | Detailed audit findings based on TESDA-OP-IAS-01-F05 and responses of the TVI indicated in the submitted Compliance Audit Action Catalogue (TESDA-OP-IAS-01-F05-A) as of reporting date |
| Column N | Date Non-Conformities Closed  (Date of Compliance) | Actual date of TVI’s closure of **ALL** audit findings based on the updated TESDA-OP-IAS-01-F05-A |
| Column O | Remarks | Other details/information on the status of the audited TVET programs for which no specific column has been provided |

* 1. **Prepared by –** name and signature of the DO/PO Compliance Audit Focal who prepares the report and the date it was signed.
  2. **Approved by –** name and signature of the District/Provincial Director who approves the report and the date it was signed.

1. Entries to the report shall be made only based on duly submitted TVI/Company Compliance Audit Report (TESDA-OP-IAS-01-F05) and/or Compliance Audit Action Catalogue (TESDA-OP-IAS-01-F05-A).

1. Indicate either: **1** – for Closed TVI/Company; **2** – for TVET programs Closed due to Certificate of TVET Program Registration (CTPR) voluntarily surrendered to PO/DO prior the conduct of audit; or **3** – for TVET programs closed due to unsettled nonconformities. [↑](#footnote-ref-2)